

Quantum Logical Operations on Encoded Qubits

Wojciech Hubert Zurek and Raymond Laflamme

Theoretical Astrophysics, T-6, MS B288

Los Alamos National Laboratory, Los Alamos, NM 87545, USA

(February 1, 2008)

We show how to carry out quantum logical operations (controlled-not and Toffoli gates) on encoded qubits for several encodings which protect against various 1-bit errors. This improves the reliability of these operations by allowing one to correct for one bit errors which either preexisted or occurred in course of operation. The logical operations we consider allow one to carry out the vast majority of the steps in the quantum factoring algorithm. Thus, our results help bring quantum factoring and other quantum computations closer to reality

89.70.+c,89.80.th,02.70.-c,03.65.-w

Schemes for encoding individual quantum bits into “qubytes” consisting of several qubits were recently proposed [1,2,3,4,5] and shown to offer a significant measure of protection against the environment-induced decoherence [6,7,8,9] and other possible sources of errors. However, as pointed by Shor [1], who devised first such encoding, the usefulness of this strategy in the context of quantum computation is limited as long as – for the purpose of carrying out logical operations – one would need to “decode” the qubit and use it in its “bare” form to compute. Here we present the first implementation of logical gates on encoded qubits and evaluate their efficiency.

We limit our presentation, for simplicity, to the linear codes proposed by Steane [2]. He has devised two such encodings, the first of which protects only against decoherence

$$\begin{aligned} |0_L\rangle &= |000\rangle + |011\rangle + |101\rangle + |110\rangle \\ |1_L\rangle &= |111\rangle + |100\rangle + |010\rangle + |001\rangle, \end{aligned} \quad (1)$$

while the second, 7-bit code is the shortest *linear* code which is capable of decoding with general 1-bit errors:

$$\begin{aligned} |0_L\rangle &= |0000000\rangle + |1010101\rangle + |0110011\rangle + |1100110\rangle \\ &\quad + |0001111\rangle + |1011010\rangle + |0111100\rangle + |1101001\rangle \\ |1_L\rangle &= |1111111\rangle + |0101010\rangle + |1001100\rangle + |0011001\rangle \\ &\quad + |1110000\rangle + |0100101\rangle + |1000011\rangle + |0010110\rangle. \end{aligned} \quad (2)$$

Any linear combinations of these logical states is also part of the code [2]. We will, later on, utilize other natural logical states such as;

$$|\pm_L\rangle = |0_L\rangle \pm |1_L\rangle = |\pm \pm \pm\rangle \quad (3)$$

for the 3-bit code.

Three different implementations of the controlled not (CNOT) for the 3-bit code are shown in Figure 1 as examples of many more we have devised and will discuss elsewhere [10]. It is easiest to start the discussion with the encoding of Fig.1a. The reason it works is simple to understand from the structure of the logical $|0_L\rangle$ and $|1_L\rangle$ in Eq.(1): the logical $|0_L\rangle$ has all the possible states with an even number of 1’s while $|1_L\rangle$ has all the states with an odd number of 1’s. Therefore by flipping any bit we transform one of the logical states into its logical opposite. The gate of Fig.1a will simply flip the qubits in the target qubyte when the control qubit will be in the state 1. There will be an even number of such flips if the control is in $|0_L\rangle$ but an odd number of flips for the control qubyte $|1_L\rangle$. Consequently:

$$(\alpha|0_L^c\rangle + \beta|1_L^c\rangle)|Q^t\rangle \xrightarrow{\text{CNOT}} \alpha|0_L^c\rangle|Q^t\rangle + \beta|1_L^c\rangle|{-Q}^t\rangle. \quad (4)$$

Above, superscripts “c” and “t” designate the “control” and “target” qubytes respectively, while;

$$|{-Q}^t\rangle = a|{-0_L^t}\rangle + b|{-1_L^t}\rangle = a|1_L^t\rangle + b|0_L^t\rangle. \quad (5)$$

A similar explanation demonstrates the action of the CNOT of Fig.1b: As the only thing that matters is whether there is an even or odd number of 1’s in the target qubyte, one might as well operate on the top qubit only. If the control bit has an odd number of 1’s (because it encodes $|1_L^c\rangle$), the state of the top qubit will be flipped. Therefore, the logical state of the target qubyte as a whole will change. By contrast $|0_L^c\rangle$ will result in an even number of flips of the top qubit, which means that its state remains unaffected.

It is important to point out that in these two schemes (Fig.1a and Fig.1b) the target byte remains “in the code” inbetween the individual 1-bit **cnot**’s. Thus, one can also apply Steane’s error correction scheme inbetween.

The last diagram in Fig.1c shows a still different implementation of the CNOT. The gate used there affects a flip of the top bit in the target qubyte depending on the sum (modulo 2) of the state of the control qubyte. In a sense, this last implementation sums up the essence of our collective CNOT: to carry it out one needs to implement a flip of any single qubit in the target qubyte if the control qubyte has an odd number of 1’s.

This last design for the collective CNOT may also have the advantage of a straightforward implementation in at least one of the proposed realizations of a quantum computer – the linear trap computer [11]. There one

can imagine three copies of the memory coexisting in a single trap, and sharing the single “bus” phonon. That phonon can then be used as a target bit of three **cnot** operations with the three relevant qubits (one from the memory of each of the three parallel copies) acting as a control. The state of the phonon will then store the information about the parity of the control qubyte, and can be used as a control qubit to affect the state of one of the bits of the target qubyte, completing the design of Fig.1c. The disadvantage of this implementation is that the phonon which acts as an “ancilla” qubit is not protected but the simplicity of the design may prove to favor it anyway, especially since the phonon bus bit can be stabilized using the watchdog effect method [12].

An essentially identical strategy works for the Steane 7-bit code, Eq.(2). Again, the logical zero is even in the number of 1’s and logical one is odd, so the **CNOT** shown in Fig.2 (which is of course direct analog of Fig.1a) will do the job. The strategy can also be applied to the 5 bit code we have previously proposed [4]. It suffices to know that the first, second and fifth bit give the parity which distinguish the logical state in this code. This observation can be supplemented with the fact that in that 5 bit code the $|1_L\rangle$ is obtained from the $|0_L\rangle$ by flipping the first bit and changing the sign if it was a 1, to create a **CNOT** circuit without decoding the 5 bits. The details will be shown elsewhere [10,13].

CNOT is a very useful logical operation, but it is not classically universal [14]. That is, one cannot build a universal classical computer using only **CNOT**’s. More sophisticated logical gates are required for that purpose. The Toffoli gate (T-gate) is an example of a universal reversible logical gate: it can be used to implement a general purpose classical computer. The T-gate has two control bits both of which have to be “1” if the target qubit is to be flipped. We show now how it can be implemented 3-bit qubytes of Eq.(1).

The T-gate cannot be of course implemented using only **CNOT**’s: If that was possible, **CNOT** itself would be universal, which is not the case. One additional operation which we shall require is a “square root” of controlled not (called V). That is, if U is the action of **CNOT** on the target bit in the case when the control bit is unity;

$$V^2 = U. \quad (6)$$

This definition does not constrain V uniquely but only up to a unitary rotation. A possible form of V is

$$V = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & i \\ i & 1 \end{pmatrix}. \quad (7)$$

With the help of V and other logical operations we have already introduced Toffoli gate can be implemented through the design shown in Fig.3, which is related to the quantum T-gate design of Barenco et al. [15]. The key to understanding this design is Fig.1b,

which shows that in order to convert a logical state to its negation in Steane’s code, Eq.(1), one can work with just one qubit. In the implementation of Fig.3 we have elected to work with the “top” qubit of the target qubyte. Now, when the control qubit CI is in a logical state 1 (0), the operation V (or identity \mathcal{I}) will be carried out on the top qubit. The three **cnot**’s then compute the sum (mod 2) of CI and CII. If that sum is 0 (which it is whenever CI and CII are the same) the operation \mathcal{I} (V) will be carried out. The three subsequent **cnot**’s restore qubytes CII to its original logical state. Thereafter, the operation V (\mathcal{I}) is carried out depending on the state of CII. The net effect for all the possibilities is:

CI	CII	Operation on the target byte
0	0	\mathcal{I}
1	0	$VV^\dagger = \mathcal{I}$
0	1	$V^\dagger V = \mathcal{I}$
1	1	$VV = U$

Table 1. Truth table for the quantum Toffoli gate.

Consequently, the above design accomplishes the action of a Toffoli gate. Moreover, the two control bytes are always in the code and can be intermittently corrected. By contrast, the target qubyte is not in the code while the operations V and V^\dagger are taking place, but that might not be a major problem, as it can be corrected for both immediately before and after the gate. Moreover, only its top qubit is used and that can happen no more than three times – much less than the correctable qubytes CI and CII.

Although T-gates are not universal for quantum computer (they have to be supplemented by internal rotation of qubits) the modular exponentiation part of Shor’s algorithm [16] can be built almost exclusively from them. Indeed in detailed versions of this algorithm [17,18] the most computer intensive part is the modular exponentiation. This part can be built with essentially only T-gates. It goes without saying that the above 3-bit T-gate can be turned into a 7-bit one without much trouble combining the ideas of Figs 1-3.

Quantum logical gates presented here have the advantage that, at least ostensibly, they appear to use the state of the control qubytes and act on the target qubytes as a whole. One would expect this to improve the performance by allowing one to correct for the errors which occur during the operation after it is already completed. One way of verifying that this is indeed the case is to assume that errors existed in the individual qubits before the operation was carried out and to check if they can be still corrected for after the gate. Below we give an example of how it works.

For the simplest case we look at the gate of Fig.1a. This gate works not only for the state of Eq.(1) but

also for the $|\pm_L\rangle$ discussed previously (we are assuming now that if the control qubite is a $+$ it flips the target bit). For this case, it is rather transparent that the gate is indeed a CNOT on the qubytes. The effect of decoherence on these states is to flip the bites $|+\rangle$ to $|-\rangle$ and vice versa [19]. If we assume that only 1 of the 6 qubits present in the CNOT is affected by decoherence it is possible to correct the final state even if the initial state was erroneous. The first possibility is that initially the target qubyte is incorrect. Fortunately, even if the qubyte is flipped the final syndrome is the same as the initial one. Therefore for this case the state can be corrected as well before as after the gate. If it is the control byte which has an erroneous bit, the error propagates to the target bits. This error must then be corrected before the next logical operation with these same qubytes as there are now 2 incorrect qubits. If the control byte has a non-trivial syndrome, this will imply that the target byte should also have the the same syndrome (if this is not true, there was more than 1 error in the initial 6 bits).

When the CNOT is in the $(+, -)$ basis, it is not possible to do error correction between the individual cnot's of pair of qubits as the state is not in the code anymore. If we perform a CNOT in the $(0,1)$ basis as in Fig.1a-c, it is however possible to perform intermediate error correction as the state remains in the code. However in this case, the errors propagate differently. For the case of Fig.1a when the error is in the target bit we get an overall conditional sign flip if the control qubyte is $|1_L\rangle$. This can be corrected by first checking that the control byte is intact, then finding the syndrome of the target. In addition to the appropriate 1-qubyte unitary transform, an overall sign flip must be performed conditionally to the control byte. If the control byte has an error, we get a simpler answer. In this case the error does not propagate to the target bit and can be corrected as if it would have been a memory byte.

The case of the T-gate is slightly more involved. In the $(0,1)$ basis, the correction of the error on the target byte will be conditional on the state of the control bytes. If the error is in the control byte they propagate through the logical operation without affecting the target byte. These errors can be corrected after the operation or even before the next T-gate. The detailed behavior of the other errors will be discussed elsewhere [10,13].

Obviously, this analysis can be generalised to the 5 and 7-bit codes with the added complication of taking care of more bits and more types of errors [10,13]. But the main point is that it is possible to recover from errors even when logical operations are performed on erroneous qubytes, or if individual operations themselves contaminate qubytes. We already know that different qubit-level designs of these and other qubyte logical gates will have quite different error propagation properties. We expect that the choice of a particular design

will depend on the specific physical implementation, and can be adjusted to minimize the effect of the most likely hardware problems.

We would like to thank E. Knill and B. Schumacher for many useful comments concerning classical and quantum error correction codes, and Rolf Landauer for persistently asking the right question.

-
- [1] P.W. Shor *Phys.Rev. A* 52, p.2493, 1995.
 - [2] A. Steane, Multiple particle interference and quantum error correction, preprint quant-ph/9601029, to be published in Proc.Roy.Soc. London.
 - [3] A.R. Calderbank and P.W. Shor, Good quantum error-correcting codes exist, preprint quant-ph/9512032.
 - [4] R. Laflamme, C. Miquel, J.-P Paz and W. H. Zurek. Perfect Quantum Error Correcting Code. *Preprint quant-ph/9602019*, February 1996, to appear in Physical Review Letters.
 - [5] C.H.Bennett, D.P. DiVincenzo, J.A. Smolin and W.K. Wootters; Mixed state entanglement amd quantum error correction, preprint quant-ph/9604006.
 - [6] W. H. Zurek. Decoherence and the Transition from Quantum to Classical. *Physics Today*, 44:36, October 1991.
 - [7] R. Landauer. Is Quantum Mechanically Coherent Computation Useful? In D.H.Feng and B-L. Hu, editors, *Proc. of the Drexel-4 Symposium on quantum Nonintegrability - Quantum Classical Correspondence*, 1996. Also, private communication (1996).
 - [8] W. G. Unruh. Maintaining coherence in Quantum Computers. *hep-th/9406058*; *Phys. Rev. A*, 51:992, 1995.
 - [9] I.L.Chuang, R.Laflamme, P.Shor and W.H.Zurek. Quantum Computers, Factoring and Decoherence, quant-ph/9503007, Science 270, 1633, 1995.
 - [10] W.H.Zurek and R.Laflamme. in preparation
 - [11] J. Cirac and P. Zoller. Quantum Computations with Cold Trapped Ions. *Phys. Rev. Lett.*, 74:4091, 1995.
 - [12] W. H. Zurek. *Phys. Rev. Lett.*, 53:391, 1984.
 - [13] W. H. Zurek and R. Laflamme. Extended Abstract for *Physics of Computation 96*.
 - [14] T. Toffoli. Bicontinuous Extensions of Invertible Combinatorial Functions, *Mathematical and Systems Theory*, 14: 13, 1982.
 - [15] A. Barenco, C.H. Bennett, R. Cleve, D.P. DiVincenzo, N. Margolus, P. Shor, T. Sleator, J. Smolin and H. Weinfurter, Elementary gates for quantum computation, preprint 1995.
 - [16] P. Shor. Algorithms for Quantum Computation: Discrete Logarithms and Factoring. In *Proc. 35th Annual Symposium on Foundations of Computer Science*, USA, Nov. 1994. IEEE Press.
 - [17] Cesar Miquel, Juan Pablo Paz, and Roberto Perazzo. Factoring in a dissipative quantum computer. *quant-ph*, 1996.
 - [18] E. Knill. Private communication.
 - [19] E. Knill and R. Laflamme, A theory of quantum er-

Figure 1a. **CNOT** (controlled-not) operation on encoded qubits. Works in the $(0,1)$ and $(+, -)$ basis (see Eqs. (1) & (3)).

Figure 1b. Different implementation of **CNOT**. Works only in the $(0,1)$ basis of Eq. (1). Corrections can be carried out inbetween individual **cnot**'s, as the target qubyte (and, obviously, the control qubyte) are “in the code” after each **cnot**. (This is also true for the $(0,1)$ version of Fig. 1a.)

Figure 1c. One more alternative of **CNOT** in the $(0,1)$ basis. The sequence of open dots connecting individual qubits of the control qubyte performs an **XOR** (addition modulo 2). This version sums up the essence of the three bit **CNOT**: It is enough to flip one of the target qubits depending on the parity of the three control qubits. It may be also easy to implement in linear trap quantum computer [11].

Figure 2. **CNOT** for a 7 bit code. This is only one of the several possible versions, in direct correspondence to Fig. 1a.

Figure 3a. Toffoli gate on encoded qubits (code of Eq. (1)). The operation V is the “square root” of the **cnot** (see text). This design which protects only against decoherence can be obviously generalised to the 7-bit code of Eq. (2) to protect against general 1-bit errors.

Figure 3b. T-gate for the $(+, -)$ basis, Eq. (3). As before, other versions exist.

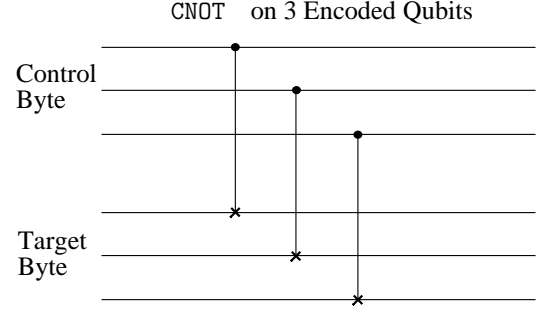


Figure 1a.

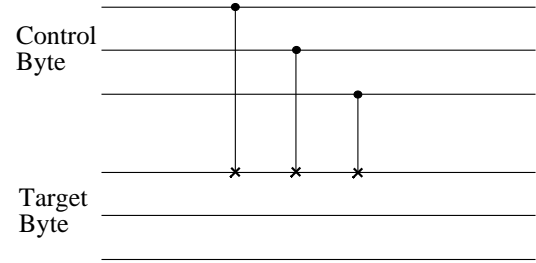


Figure 1b.

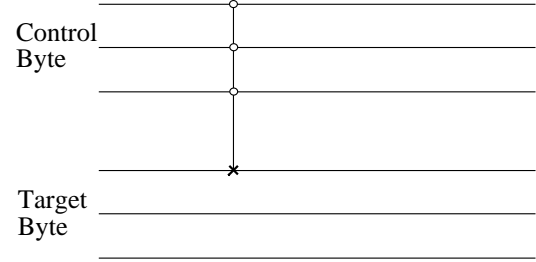


Figure 1c.

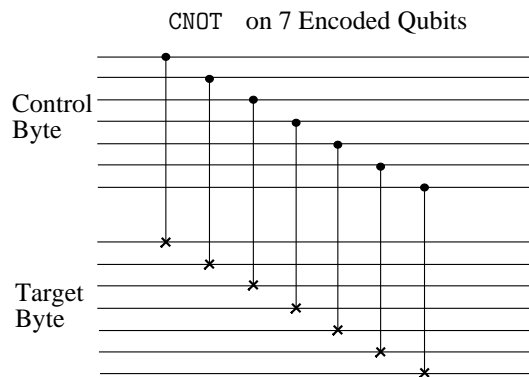


Figure 2.

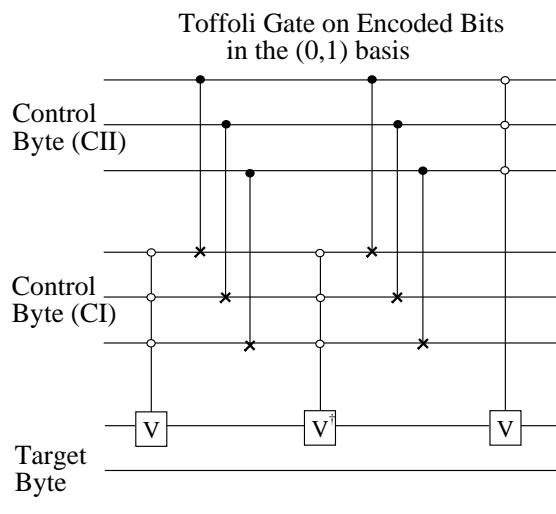


Figure 3a.

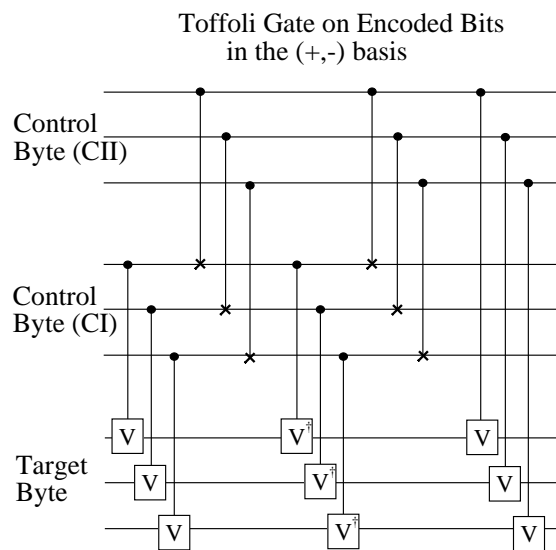


Figure 3b.